

**POLICY ON NON-COMPLIANCE
OF
CZU-03-071 AND IT'S APPENDICES**

**CZU-03-071
APPENDIX D**



22 April 2003

TABLE OF CONTENTS

1. INTRODUCTION	D-iii
1.1 BACKGROUND.....	D-iii
1.2 PURPOSE	D-iii
1.3 SCOPE	D-iii
1.4 FAILURE TO COMPLY STATUTES.....	D-iii

1. INTRODUCTION

1.1 Background

This appendix to CZU-03-071 (CZU-03-071, Appendix D) identifies the impacts that a company may face if it does not abide by the CZU-03-071 process.

Note: In this appendix (CZU-03-071, Appendix D) GPS PPS HAE is defined as any legacy GPS PPS security device, legacy GPS PPS receiver, GPS simulator, SAASM and/or SAASM-Based HAE.

1.2 Purpose

CZU-03-071, Appendix D provides non-compliance policy as it relates to the overall authorization procurement process.

1.3 Scope

The scope of this appendix is to give the procuring organization an understanding as to what the consequences are for not abiding by the authorization process as laid out within CZU-03-071.

1.4 Failure to comply statutes

Failure to comply with the provisions laid out within CZU-03-071 and its associated appendices to include final authorization letters granted by the GPS JPO can have an impact upon an organization; whether it be government or industry.

From the standpoint of CZU-03-071 and its accompanying appendices, these consequences can include:

- a. Revoking of a specific security authorization for GPS PPS HAE
- b. Revoking of a GPS JPO security approval for specific GPS PPS HAE
- c. Barring a company from further development, sale, procurement and marketing of GPS PPS HAE
- d. Loss of a COMSEC account
- e. Loss of a CAGE code
- f. Additional consequences

Although the GPS JPO is not the controlling authority of some of these areas, the organizations that have a direct input into the authorization process do have the authority to revoke certain industry and organization privileges as their policies flow directly into the CZU-03-071 process.

In regards to the authorization letters themselves, once an authorization has been granted by the GPS JPO, it is the procuring organizations full responsibility to ensure that the equipment *shall* only be used for the program specified and that any other transfer or use of the GPS PPS HAE *shall* require a separate security authorization.

If any part of the UE Security Authorization Letter is not enforced, the GPS JPO has the authority to rescind the security authorization letter as well as can direct that organization to return the GPS PPS HAE back to the vendor from which the GPS PPS HAE came from originally.

If a manufacturer does not follow the requirements specified within CZU-03-071 and it's accompanying appendices to include the final authorization letter, ***the GPS JPO has the authority to rescind security approvals of GPS PPS HAE and / or bar the manufacture from further marketing, procurement and / or sale of GPS PPS HAE.***

From the standpoint of policy, the CZU-03-071 and its appendices enforces many different policies and directives that flow directly into it. In turn many of these policies also have related consequences. As such, this appendix is being provided to ensure that organizations are aware of the seriousness of the CZU-03-071 process.

Further questions can be sent to the HAE authorizations team as identified in Appendix E to this document.