

**PROCUREMENT / CONSIGNMENT
AUTHORIZATIONS 101 BRIEFING**

**CZU-03-071
APPENDIX B**



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1. INTRODUCTION

1.1 Background

This appendix to CZU-03-071 contains a background briefing on the procurement / consignment authorization process. This process identifies the basic format for how authorizations are initiated and processed at the GPS JPO. The charts have been created for outside organizations to be able to brief the authorization process internal to their own programs. This benefits the GPS JPO by getting the word out on authorizations and it benefits the outside organization by giving them clear expectations on how to submit requests to the GPS JPO with a confident expectation of authorization in return.

Note: In this appendix (CZU-03-071, Appendix B) GPS PPS HAE is defined as any legacy GPS PPS security device, legacy GPS PPS receiver, GPS simulator, SAASM and/or SAASM-Based HAE.

1.2 Purpose

CZU-03-071, Appendix B establishes the basic process of how procurement / consignment authorizations are initiated, what the underlying security policies are and how they are processed at the GPS JPO.

1.3 Scope

The scope of this briefing is to cover the procurement / consignment authorization process only and not the security approval process. Although the same program covers security approvals, for the focus of this appendix to CZU-03-071, security approvals will not be covered here.

2. AUTHORIZATION BRIEFING CHARTS



How to Request Security Authorization To Procure, Consign and/or Transfer GPS PPS HAE

Note: In this briefing, GPS PPS HAE is defined as any legacy GPS PPS security device, legacy GPS PPS receiver, GPS simulator, SAASM and/or SAASM-Based HAE.



Overview



- Host Application Equipment (HAE) authorizations
- How do I get an authorization to procure, loan or transfer?
- Templates available
- Upon receipt of an authorization, what are the expectations?
- Consequences of failing to fully comply with the authorization process as outlined
- Contact information



Host Application Equipment (HAE) Authorizations



- Global Positioning System (GPS) Joint Program Office (JPO) Security Requirements state that GPS Precise Positioning Service (PPS) HAE **SHALL** be tracked at all times up until the HAE reach their final government end user
- All transfers, loans and procurements of GPS PPS HAE **SHALL** be given authorization by the GPS JPO prior to delivery
- The UE Security team does not track what happens to the HAE once it arrives at it's DoD or US Government Agency end user. **HOWEVER**, if the GPS PPS HAE ever goes back into the commercial sector, the DoD or US Government Agency **SHALL** re-engage with the security authorization process prior to shipping GPS PPS HAE to the commercial sector.
- The GPS JPO Security team verifies that all HAE has been granted security approval prior to being granted security authorization to field the GPS PPS HAE operationally



Host Application Equipment (HAE) Authorizations



- The GPS JPO only authorizes for procurements and transfers within the US, the GPS JPO does not authorize procurement by foreign MoDs or manufacturers. FMS channels must be followed for this type of procurement
- Although the GPS JPO authorizes transfers of PPS GPS for Internal Research and Development (IR&D) efforts. It is OASD(C3I) that approves a company to be able to perform IR&D.

Note: GPS JPO in the context of this briefing refers to the UE Security team and no other branch within the GPS JPO



How Do I Get Authorization to Procure, Loan or Transfer GPS PPS HAE?



The first place in the authorization process is to go to the UE Security website identified below. By following the process (also identified below) you as the procuring organization should be able to make your request relatively painlessly.

UE Security Website:

- <http://gps.losangeles.af.mil/user/products/ue-security/index.htm>
- Go to “Host Application Equipment”
- Scroll down and click on “Procedures for obtaining PPS HAE”
- Scroll down and open up the appropriate template as read-only
- Save it to the hard drive



How Do I Get Authorization to Procure, Loan or Transfer GPS PPS HAE?



- Enter the required information. If you need help with the information requested contacted the vendor directly.
- If you are a government organization, simply submit the request on government letterhead and fax to the number specified
- If you are a US company that meets the pre-requirements for procuring GPS PPS HAE then have your government sponsor submit the request on formal government letterhead and fax it to the number specified
- If you are a US company that meets the pre-requirements for procuring GPS PPS HAE and want to procure the HAE for IR&D, submit the request on formal company letterhead and fax it to the number specified
- Once the GPS JPO has received the authorization request and verified the information, the GPS JPO will fax out an authorization to procure either to the government sponsor or directly to the company if it is being procured under IR&D



Different Templates



There are five different templates that an organization or company can use to procure GPS PPS HAE. Each template has the same general information, however, there are some areas that are unique to each request. The next few charts provide a breakout of these differences

- Procurement by US DOD organization:
 - The DOD request **SHALL** be submitted on official government letterhead
 - The request **SHALL** provide all of the information on the template
 - No COMSEC account number needs to be specified, unless so desired
 - The contract and specific DoD service should be specified on the request
 - Actual use **SHALL** be specified in the request
 - Applicable point of contact information **SHALL** be provided



Different Templates



- Procurement by non-DOD US government organization:
 - The non-DOD government organization's request **SHALL** be submitted on official government letterhead
 - The request **SHALL** provide all of the information on the template
 - The contract needs to be specified as well as the agency that will be using the GPS PPS HAE
 - Actual use **SHALL** be specified on the request
 - The request **SHALL** either reference or include a copy of the MOA between the DOD and the government organization
 - Applicable Point of Contact information **SHALL** be provided for the government agency



Different Templates



- Industry Procurement in Support of DoD or US Government Contracts:
 - The company's request **SHALL** be submitted by its government sponsor on official government letterhead
 - The request **SHALL** provide all of the information on the template
 - Actual use **SHALL** be specified on the request
 - Both the company's COMSEC and contract number **SHALL** be specified
 - If applicable, a KLIF Account Number **SHALL** be identified for the procuring company
 - The company's CAGE Code is not required
 - The service or agency that will be sponsoring the GPS PPS HAE **SHALL** be specified on the request
 - Applicable Point of Contact information for both the government sponsor and procuring company **SHALL** be provided



Different Templates



- Industry Procurement for Independent Research and Development (IR&D):
 - The company's request **SHALL** be submitted on official company letterhead
 - The request **SHALL** provide all of the information on the template
 - Actual use **SHALL** be specified on the request
 - What the research is supporting **SHALL** be identified
 - The company's COMSEC Account number **SHALL** be specified
 - The company's CAGE Code is not required
 - If applicable, a KLIF Account Number **SHALL** be identified for the procuring company
 - Applicable Point of Contact information for the procuring company **SHALL** be provided
 - OASD(C3I) letter approving the company's IR&D **SHALL** be included with the request



Different Templates



- Consignment Transfers in support of Government Contracts (*New*)
 - The company's request **SHALL** be submitted by its government sponsor on official government letterhead
 - The request **SHALL** include all of the information on the template
 - Actual use of the GPS PPS HAE **SHALL** be specified on the request
 - Period of consignment **SHALL** be specified
 - Both the consigning and consignee company COMSEC and contract numbers **SHALL** be specified
 - Company CAGE Codes are not required
 - If applicable, a KLIF Account Number **SHALL** be identified for each of the companies
 - The service or agency that will be sponsoring the GPS PPS HAE **SHALL** be specified on the request
 - Applicable Point of Contact information for the government sponsor and both the consigning and consignee companies **SHALL** be provided



Different Templates



- Consignment Transfers in support of IR&D efforts (*New template*)
 - The Consignee company's request **SHALL** be submitted on official company letterhead
 - The request **SHALL** provide all of the information on the template
 - Actual use **SHALL** be specified on the request
 - Period of consignment **SHALL** be specified
 - What the research is supporting **SHALL** be identified
 - Both the consigning and consignee company COMSEC and contract numbers **SHALL** be specified
 - Company CAGE Codes are not required
 - If applicable, a KLIF Account Number **SHALL** be identified for each of the companies
 - OASD(C3I) letter approving IR&D research for the consignee **SHALL** be included with the request
 - Applicable Point of Contact information for both the consigning and consignee companies **SHALL** be provided



Upon Receipt of an Authorization, What are the Expectations?



It is the DoD or US government sponsors responsibility to ensure that their GPS PPS HAE developer or integrator abides by the requirements specified within the authorization letter as well as within CZU-03-071 and its attached appendices.

For the vendor or integrator, whether they are performing their work on contract or under IR&D, it is very much their responsibility to ensure that they follow the requirements laid out within the authorization letter as well as in CZU-03-071 and it's accompanying appendices. This compliance is extremely important for companies to be aware of as there are potential impacts to the company for not enforcing these requirements.

***Strict Compliance of CZU-03-071 is a requirement for
The US DoD, Us Government agencies and US Industry***



Upon Receipt of an Authorization, What are the Expectations?



The letter that is provided by the GPS JPO outlines the basic requirements of the transfer to include identifying what the GPS PPS HAE may be used to support, what contract the HAE can be used to support as well as any receiver classification information that may exist.

It is therefore essential to provide the correct information when requesting initial authorization as it is this information that will be used to create the final authorization letter.

Each letter identifies an Authorization Control Number (ACN) that is used when working with the process laid out by the KLIF. This number is key to their specific tracking process.

Finally, each authorization will adhere to the CJCSI instruction 6140.01 that requires programs and platforms to start moving towards integrating SAASM. If a request does not fit this requirement it will be reviewed by JCS and OSD for their concurrence before final submittal.



Consequences of Failing To Fully Comply with the Authorization Process as Outlined



Failure to fully comply with the provisions laid out in the UE Security Authorization process may result in the GPS JPO revoking its approval of a manufacturer's GPS PPS HAE and thereby barring the manufacture from marketing, and sale of further GPS PPS HAE. Other potential impacts could include loss of COMSEC account and/or facility clearance.

Specific consequences for failure to comply are identified within CZU-03-071, Appendix D



Contact information



**To submit a request for GPS PPS HAE
Security Authorization**

**Fax requests to 310-363-3844
Attention SMC/CZU HAE Authorizations**